SURMODICS INC Form SC 13G/A February 09, 2004

#### **Table of Contents**

OMB APPROVAL

OMB Number: 3235-0145

Expires: December 31, 2005

Estimated average burden hours per response...11

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## SCHEDULE 13G

Under the Securities Exchange Act of 1934 (Amendment No. 5)\*

SurModics, Inc.

(Name of Issuer)

Common Stock, \$.05 par value

(Title of Class of Securities)

868873 10 0

(Cusip Number)

December 31, 2003

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

o Rule 13d-1 (b)

O Rule 13d-1 (c)

x Rule 13d-1 (d)

The information required in the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the notes).

Page 1 of 4 Pages

<sup>\*</sup>The remainder of this cover page shall be filled out for a reporting person s initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

### **Table of Contents**

13G

CUSIP No	. 868	873	10 0	Page 2 of 4
1.			Reporting Person:  I.R.S. Identification Nos. of above persons (entities only):  Olseth	
2.		o	ne Appropriate Box if a Member of a Group:	
3.	SEC	C Use	e Only:	
4.	Citi:		hip or Place of Organization:	
Number of		5.	Sole Voting Power: 1,339,760 (includes 48,260 shares which may be purchased upon exercise of options)	
Shares Beneficia Owned b Each Reportin	ılly oy	6.	Shared Voting Power: 5,000	
Person W	ith (	7.	Sole Dispositive Power: 1,339,760 (includes 48,260 shares which may be purchased upon exercise of options)	
		8.	Shared Dispositive Power: 5,000	
9.	Agg 1,34	rega 4,76	tte Amount Beneficially Owned by Each Reporting Person: 0 (includes 48,260 shares which may be purchased upon exercise of options)	
10.	Che o	ck if	the Aggregate Amount in Row (9) Excludes Certain Shares:	
11.				

	7.7%
12.	Type of Reporting Person: IN
	Page 2 of 4 Pages

#### **TABLE OF CONTENTS**

Item 1(a) Name of Issuer:

<u>Item 1(b) Address of Issuer s Principal Executive Offices:</u>

Item 2(a) Name of Person Filing:

<u>Item 2(b)</u> Address of Principal Business Office or, if none, residence:

<u>Item 2(c) Citizenship:</u>

Item 2(d) Title of Class of Securities:

Item 2(e) CUSIP No.:

Item 3 Statement filed pursuant to Rule 13d-1(b), or 13d-2(b) or (c):

<u>Item 4 Ownership</u>

<u>Item 5 Ownership of Five Percent or Less of a Class:</u>

<u>Item 6 Ownership of More than Five Percent on Behalf of Another Person:</u>

Item 7 Identification and Classification of the Subsidiary Which Acquired the Security Being Reported

on by the Parent Holding Company:

<u>Item 8 Identification and Classification of Members of the Group:</u>

Item 9 Notice of Dissolution of Group:

<u>Item 10 Certifications:</u>

**SIGNATURE** 

# **Table of Contents** Answer every item. If an item is inapplicable or the answer is in the negative, so state. Item 1(a) Name of Issuer: SurModics, Inc. **Item 1(b) Address of Issuer s Principal Executive Offices:** 9924 West 74th Street Eden Prairie, MN 55344 **Item 2(a) Name of Person Filing:** See Cover Page Item 1 Item 2(b) Address of Principal Business Office or, if none, residence: 9924 West 74th Street Eden Prairie, MN 55344 **Item 2(c) Citizenship:** See Cover Page Item 4 **Item 2(d) Title of Class of Securities:** Common Stock, \$.05 par value Item 2(e) CUSIP No.: See Cover Page

Item 3 Statement filed pursuant to Rule 13d-1(b), or 13d-2(b) or (c):

**Item 4 Ownership** 

Not applicable

See Cover Page Items 5 through 11

# Item 5 Ownership of Five Percent or Less of a Class:

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following o.

Page 3 of 4 Pages

#### **Table of Contents**

1 CHI U O WHEISHIP OF MIUTE HIGH TIVE I CICCHE OH DEHAH OF AHUUHEF I CIS	ip of More than Five Percent on Behalf of Another Person:
--	---

Not applicable

Item 7 Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company:

Not applicable

Item 8 Identification and Classification of Members of the Group:

Not applicable

**Item 9 Notice of Dissolution of Group:** 

Not applicable

#### **Item 10 Certifications:**

Not applicable

#### **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct as of December 31, 2003.

February 6, 2004
(Date)
/s/ Dale R. Olseth
(Signature)
Dale R. Olseth
(Name and title)

Page 4 of 4 Pages