

HARMONIC INC  
Form 8-K  
January 22, 2009

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

**FORM 8-K**

**Current Report Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934**

**Date of Report: January 15, 2009**

**(Date of Earliest Event Reported)**

**HARMONIC INC.**  
**(Exact name of Registrant as specified in its charter)**

**Delaware**

**000-25826**

**77-0201147**

**(State or other jurisdiction of  
incorporation or organization)**

**Commission File Number**

**(I.R.S. Employer  
Identification Number)**

**549 Baltic Way  
Sunnyvale, CA 94089  
(408) 542-2500**

**(Address, including zip code, and telephone number, including area code,  
of Registrant's principal executive offices)**

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
  - ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
  - ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
  - ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
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**Item 8.01. Other Events.**

On January 15, 2009, Harmonic Inc. ( Harmonic or the Company ) entered into a settlement agreement (the Settlement Agreement ) with Northrop Grumman Guidance and Electronics Company, Inc. ( Northrop Grumman ), a company that was previously named Litton Systems, Inc. Pursuant to the terms of the Settlement Agreement, Harmonic and Northrop Grumman agreed to settle litigation (the Litigation ) that resulted from the filing of a complaint by Northrop Grumman and Stanford University ( Stanford ) in U.S. District Court for the Central District of California (the District Court ) alleging that optical fiber amplifiers incorporated into certain of Harmonic s products infringe U.S. Patent No. 4859016 (the Patent, and, together with any foreign counterparts thereof, the Patents ), which Patents are held by Stanford and exclusively licensed to Northrop Grumman pursuant to a license agreement. Northrop Grumman has the exclusive right, pursuant to the license agreement with Stanford, to settle the Litigation.

The Settlement Agreement provides, among other things, that, in exchange for a one-time lump sum payment by Harmonic to Northrop Grumman of \$5.0 million, Northrop Grumman (i) would not bring suit or otherwise assert against Harmonic or its affiliates, or any of its direct and indirect customers, end-users, distributors, vendors, representatives, resellers or contract manufacturers, any claim arising out of any of the Patents, and (ii) would release Harmonic, its affiliates, customers, vendors, representatives, distributors, and its contract manufacturers from having any liability for making, using, offering for sale, importing, and/or selling any Harmonic products that may have incorporated technology that was alleged to have infringed on one or more of the Patents.

Harmonic and Northrop Grumman have agreed to jointly seek entry of a stipulated judgment in the District Court, or, alternatively, under certain specified circumstances, to enter into a stipulation and order of dismissal.

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**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**HARMONIC INC.**

Date: January 22, 2009

By: /s/ Robin N. Dickson

Name:

Robin N. Dickson

Title: Chief Financial Officer

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