BUILD A BEAR WORKSHOP INC

Form 4

March 20, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

OMB APPROVAL

burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

WINE KENNETH

Symbol **BUILD A BEAR WORKSHOP INC**

(Check all applicable)

[BBW]

(Last) (First) (Middle) 3. Date of Earliest Transaction

10% Owner Director X_ Officer (give title Other (specify

Chief Merchandise Bear

(Month/Day/Year)

03/18/2014

below)

C/O BUILD-A-BEAR WORKSHOP, INC., 1954

INNERBELT BUSINESS CENTER

(Street)

(State)

03/19/2014

DR.

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

ST. LOUIS, MO 63114

(City)

Common

Stock

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) any

(Zip)

3. 4. Securities Acquired 5. Amount of Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

(1)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4)

> (A) or (D)

Transaction(s) (Instr. 3 and 4)

Reported

Code V Amount Price 6,099

A

(2)

D

Common 03/18/2014 Stock

F

A

540 (3) D

18,156 ⁽⁴⁾

18,696

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

SEC 1474 (9-02)

Edgar Filing: BUILD A BEAR WORKSHOP INC - Form 4

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amoun or Number of Shares
Employee Stock Option (Right to Buy)	\$ 9.43	03/18/2014		A	5,421	03/18/2014(5)	03/18/2024	Common Stock	5,421

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

WINE KENNETH C/O BUILD-A-BEAR WORKSHOP, INC. 1954 INNERBELT BUSINESS CENTER DR. ST. LOUIS, MO 63114

Chief Merchandise Bear

Signatures

Reporting Person

/s/ Kenneth
Wine

**Signature of Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant to reporting person of 6,099 shares of restricted stock. The shares vest in equal annual installments over three years from the date of the grant.
- (2) Price is not applicable to grants of restricted stock.
- (3) Shares surrendered in payment of tax withholding due upon vesting of restricted stock.

After giving effect to the transactions reported in this Form 4, Mr. Wine directly owns 2,130 shares of common stock and 16,026 shares (4) of restricted stock of Build-A-Bear Workshop, Inc. In addition, Mr. Wine holds unvested options to purchase 5,421 shares of Build-A-Bear Workshop, Inc. common stock.

Reporting Owners 2

Edgar Filing: BUILD A BEAR WORKSHOP INC - Form 4

- (5) The options vest in equal annual installments over three years from the date of the grant.
- (6) Price is not applicable to acquisitions resulting from grants of stock options.

Remarks:

EXHIBIT LIST - Exhibit 24 - Power of Attorney for Kenneth Wine

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.