

Edgar Filing: CULLEN ROBERT R - Form 4

CULLEN ROBERT R  
Form 4  
August 30, 2002

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FORM 4  
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OMB APPROVAL  
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OMB Number: 3235-0287  
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940  
[ ] Check this box if no longer subject of Section 16. Form 4 or Form 5  
obligations may continue. See Instruction 1(b).

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1. Name and Address of Reporting Person\*

Cullen Robert  
-----  
(Last) (First) (Middle)  
  
3819 Osuna NE  
-----  
(Street)  
  
Albuquerque NM 87109  
-----  
(City) (State) (Zip)  
=====

2. Issuer Name and Ticker or Trading Symbol  
LPTH

3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)

4. Statement for Month/Year  
August 2002

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)  
[ ] Director [ ] 10% Owner  
[ ] Officer (give title below) [X] Other (specify below)  
  
Exec VP, President Horizon Photonics  
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7. Individual or Joint/Group Filing (Check applicable line)

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[x] Form filed by One Reporting Person  
 [ ] Form filed by More Than one Reporting Person

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Form 4 (continued)

Table I -- Non-Derivative Securities Acquired, Disposed of,  
 or Beneficially Owned

| Title of Security<br>(Instr. 3) | Transaction<br>Date<br>(mm/dd/yy) | Transaction<br>Code | (Instr. 8)<br>V | Securities Acquired (A)<br>or Disposed of (D) (Instr. 3, 4 and 5) |       | Amount<br>or Price<br>(D) | Amount<br>of Secur-<br>ities Bene-<br>ficial<br>Owned<br>End of Mon<br>(Instr. 3 and |
|---------------------------------|-----------------------------------|---------------------|-----------------|---|-------|---------------------------|--|
|                                 |                                   |                     |                 | Amount  | Price |                           |  |
| Class A common stock (1)        | 08/01/02                          | A                   | V               | 11,750  | A     | \$0.57                    | 275,5  |

1) Restriction Stock Award which vests over 2 to 4 years.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

PERSONS WHO RESPOND TO THE COLLECTION OF INFORMATION CONTAINED IN THIS FORM ARE NOT REQUIRED TO RESPOND UNLESS THE FORM DISPLAYS A CURRENTLY VALID OMB CONTROL NUMBER.

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Form 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned  
 (e.g., puts, calls, warrants, options, convertible securities)

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| Title of<br>Derivative<br>Security<br>(Instr. 3) | Number<br>of<br>Deriv-<br>ative<br>Secur-<br>ities<br>Acquired<br>(A) or<br>Disposed<br>of (D) | Date<br>(Month/<br>Day/<br>Year) | Trans-<br>action<br>Code | V | Date<br>(Month/<br>Day/<br>Year) | Exercisable<br>and<br>Date | Expiration<br>Date<br>(Month/<br>Day/<br>Year) | Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3<br>and 4) | Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity<br>(Instr.<br>5) |  |
|--|--|----------------------------------|--------------------------|---|----------------------------------|----------------------------|--|---|--|--|
|  |  |                                  |                          |   |                                  |                            |  |   |  | Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity<br>(Instr.<br>3) |
| Stock Option (3)                                 |  | 11.63                            | 8/1/02                   | D | V                                | 20000                      | 1/3/02   | 1/12  | Common   | 20000  |

3) Option Cancelled.

Explanation of Responses:

/s/ Robert Cullen 8/30/02  
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 \*\*Signature of Reporting Person Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
 See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).  
 Note: File three copies of this Form, one of which must be manually signed.  
 If space provided is insufficient, see Instruction 6 for procedures.  
 Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.