

Edgar Filing: CTI INDUSTRIES CORP - Form SC 13G/A

CTI INDUSTRIES CORP
Form SC 13G/A
February 13, 2002

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

SCHEDULE 13G

UNDER THE SECURITIES EXCHANGE ACT OF 1934
(AMENDMENT NO. 1) *

CTI INDUSTRIES CORPORATION

(Name of Issuer)

Common Stock

(Title of Class of Securities)

125961300

(CUSIP Number)

12/31/01

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

☒ [X] Rule 13d-1(b)

☐ [] Rule 13d-1(c)

☐ [] Rule 13d-1(d)

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

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CUSIP NO. 125961300

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(1) NAMES OF REPORTING PERSONS.
I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)

BANK ONE CORPORATION, I.R.S. NO. 31-0738296

(2) CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS)
(a) ☐
(b) ☐

(3) SEC USE ONLY

(4) CITIZENSHIP OR PLACE OF ORGANIZATION

NUMBER OF	(5) SOLE VOTING POWER
SHARES	
BENEFICIALLY	(6) SHARED VOTING POWER
OWNED BY	
EACH	(7) SOLE DISPOSITIVE POWER
REPORTING	
PERSON	(8) SHARED DISPOSITIVE POWER
WITH:	

(9) AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

(10) CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)

(11) PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

(12) TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)

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Item 1 (a). Name of Issuer: CTI INDUSTRIES CORPORATION

Item 1 (b). Address of Issuer's Principal Executive Offices: 22160 N. Pepper R
Barrington, IL 60015

Item 2 (a). Name of Person Filing: BANK ONE CORPORATION

Item 2 (b). Address of Principal Office or, if none, Residence: One First National
Chicago, IL 60677

Item 2 (c). Citizenship: Not Applicable.

Item 2 (d). Title of Class of Securities: Common Stock

Item 2 (e). CUSIP Number: 125961300

Item 3. If this Statement is Filed Pursuant to Rules 240.13d-1 (b), or 240.13d-2 (b) or
check whether the person filing is a:

(a) ☐ Broker or dealer registered under Section 15 of the Act;

(b) ☐ Bank as defined in section 3(a)(6) of the Act;

(c) ☐ Insurance company as defined in section 3(a)(19) of the Act;

(d) ☐ Investment company registered under section 8 of the Investment Com
Act;

(e) ☐ An investment adviser in accordance with Rule 13d-1(b)(1)(ii)(E);

(f) ☐ An employee benefit plan or endowment fund in accordance with
Section 240.13d-1(b)(1)(ii)(F);

(g) ☒ A parent holding company or control person in accordance with
Section 240.13d-1(b)(ii)(G);

(h) ☐ A savings association as defined in Section 3(b) of the Federal Dep
Insurance Act (12 U.S.C. 1813);

(i) ☐ A church plan that is excluded from the definition of an investment
company under Section 3(c)(14) of the Investment Company Act of
1940 (15 U.S.C. 80a-3);

(j) ☐ Group, in accordance with Section 240.13d-1(b)(1)(ii)(J).

If this statement is filed pursuant to Rule 13d-1(c), check this box. ☐

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Item 4. Ownership:

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(a) Amount Beneficially Owned:	43,500
(b) Percent of Class:	5.2
(c) Number of shares as to which the person has:	
(i) sole power to vote or direct the vote:	4,000
(ii) shared power to vote or direct the vote:	0
(iii) sole power to dispose or direct the disposition of:	43,500
(iv) shared power to dispose or direct the disposition of:	0

Item 5. Ownership of Five Percent or Less of a Class.

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following: []

Item 6. Ownership of More Than Five Percent on Behalf of Another Person.

Not Applicable.

Item 7. Identification and Classification of the Subsidiary which acquired the Security being Reported on by the Parent Holding Company.

American National Bank

Item 8. Identification and Classification of Members of the Group.

Not Applicable.

Item 9. Notice of Dissolution of Group.

Not Applicable.

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Item 10. Certification.

By signing below, I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

Signature.

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After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: February 13, 2002

BANK ONE CORPORATION

By: /s/ David J. Kundert

Name: David J. Kundert

Title: Executive Vice President